

02/25/02
1c541 U.S. PTO

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Express Mailing Label Number: EL026587084 US

ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
WASHINGTON, D.C. 20231

11002 U.S. PTO
10/082961
02/25/02

UTILITY PATENT APPLICATION TRANSMITTAL
Under 37 CFR 1.53(b)

Transmitted herewith for filing is the patent application of:

INVENTOR(S): Kenneth A. Peterson, Robert. D. Watson

TITLE: Bi-Level Microelectronic Device Package with an Integral Window

DOCKET NO. SD-7122

DATE: 2/25/02

I. APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form for FY 2002
2. ☒ Specification
Total Pages 64
3. ☒ Drawings
Total Pages 19
4. ☒ Combined Declaration and Power of Attorney
 - a. ☒ Newly Executed
 - b. ☐ Copy from Prior Application (Divisional)
- ☐ Deletion of Inventors
Signed Statement Attached Deleting Inventor(s) named in prior Application. 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (if Box 4b is checked). The entire disclosure of the prior application(s) from which a copy of the Oath or Declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)

II. ACOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet and document(s))
8. ☐ 37 CFR 3.73(b) Statement
(where there is an assignee)
9. ☒ Information Disclosure Statement (IDS)/PTO-1449
Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ Return Receipt Postcard (Itemized)
12. ☒ Nonpublication Request

III. IF A CONTINUING APPLICATION

☐ Continuation ☐ Divisional ☒ Continuation-in-Part (CIP)

of prior application No. **09/571,335** (copy enclosed)

IV. CORRESPONDENCE ADDRESS

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Dated: _____

2/22/02

Robert D. Watson

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Intellectual Property Center, 11500
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Albuquerque, NM 87185-0161
Telephone: (505) 845-3139
FAX: (505) 844-2829

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U. S. Postal Service on the date indicated below, in an envelope as "Express Mail Post Office to Addressee", addressed to the: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

2/25/02

Date

Viola Campos

Viola Campos

Express Mailing Label Number: EL026587084US

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">FOR FY 2002</h2> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, Otherwise large entity fees must be paid. See Forms PTO/SB/09-12.</p>		Complete if Known	
		Application Number	
		Filing Date	2/25/02
		First Named Inventor	Kenneth A. Peterson
		Examiner Name	
		Group / Art Unit	2811
		Attorney Docket No.	SD-7122
TOTAL AMOUNT OF PAYMENT		(\$) 1628	

<h3 style="margin: 0;">METHOD OF PAYMENT (check one)</h3> <p>The Commissioner is hereby authorized to charge</p> <p>1. <input checked="" type="checkbox"/> indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 19-0131</p> <p>Deposit Account Name: Sandia National Labs</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p>2. Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<h3 style="margin: 0;">FEE CALCULATION (continued)</h3> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840</td><td>11</td><td>1,840</td><td>Requesting publication of SIR after Examiner</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name		Robert D. Watson		Reg. Number	45, 604
Signature		<i>Robert D. Watson</i>		Deposit Account User ID	
		Date	2/22/02		

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor		Kenneth A. Peterson
	Title	Bi-Level Microelectronic Device Package with an Integral Window	
	Atty Docket Number		SD-7122

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

2/22/02

Date

Robert D. Watson

Signature

Robert D. Watson, Reg. No. 45, 604

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Application may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

03/05/2002 GGEBREGI 00000021 190131 10082961

01 FC:101	740.00 CH
02 FC:103	720.00 CH